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Case Docket No. PHA 23,888

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THE COMMISSIONER OF PATENTS AND TRADEMARKS, Washington, D.C. 20231

Enclosed for filing is the patent application of Inventor(s):  
George Samuel Fleming; Farrell L. Ostler; Antoine Farid Dagher

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09/466392

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For: SIMPLE ALGORITHMIC CRYPTOGRAPHY ENGINE

**ENCLOSED ARE:**

- [X] Appointment of Associates;  
[X] Information Disclosure Statement, Form PTO-1449 and copies of documents listed therein;  
[ ] Preliminary Amendment;  
[X] Specification (34 Pages of Specification, Claims, & Abstract);  
[X] Declaration and Power of Attorney:  
(4 Pages of a [X]fully executed [ ]unsigned Declaration);  
[X] Drawing (2 sheets of [ ]informal [X]formal sheets);  
[ ] Certified copy of application Serial No. ;  
[X] Authorization Pursuant to 37 CFR §1.136(a)(3)  
[ ] Other: ;  
[X] Assignment to Philips Electronics North America Corporation.

**FEE COMPUTATION**

CLAIMS AS FILED				
FOR	NUMBER FILED	NUMBER EXTRA	RATE	BASIC FEE - \$760.00
Total Claims	20 - 20 =	0	X \$18 =	0.00
Independent Claims	6 - 3 =	3	X \$78 =	234.00
Multiple Dependent Claims, if any			\$260 =	0.00
TOTAL FILING FEE . . . . . =				\$994.00

Please charge Deposit Account No. 14-1270 in the amount of the total filing fee indicated above, plus any deficiencies. The Commissioner is also hereby authorized to charge any other fees which may be required, except the issue fee, or credit any overpayment to Account No. 14-1270.

[ ]Amend the specification by inserting before the first line as a centered heading --Cross Reference to Related Applications--; and insert below that as a new paragraph --This is a continuation-in-part of application Serial No. , filed , which is herein incorporated by reference--.

**CERTIFICATE OF EXPRESS MAILING**

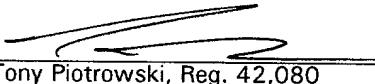
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

GEORGE S. FLEMING ET AL

PHA 23,888

Serial No.

Group Art Unit:

Filed: Concurrently

Examiner:

SIMPLE ALGORITHMIC CRYPTOGRAPHY ENGINE

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Sir:

Prior to calculating the filing fee and examination,  
please amend the above-identified application as follows:

IN THE SPECIFICATION

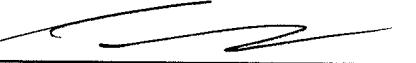
Page 10, lines 12 and 13, delete in their entirety and replace with  
--number, not yet assigned, filed simultaneously  
herewith, for Farrell Ostler and Antoine Dagher, Attorney Docket  
PHA 23,891, incorporated--

REMARKS

The specification has been amended to correct a formal matter.

Applicant respectfully requests favorable consideration and  
early passage to issue of the present application.

Respectfully submitted,

By   
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## SIMPLE ALGORITHMIC CRYPTOGRAPHY ENGINE

### BACKGROUND OF THE INVENTION

#### 5 1. Field of the Invention

This invention relates to the field of processing systems, and in particular to a processor for use in a cryptographic system

#### 2. Description of Related Art

10 The use of cryptography for encoding electronic content material continues to increase. In the entertainment field, digital audio and video recordings are encrypted to protect the material from unauthorized copying. In the communications field, documents are encrypted to prevent unauthorized viewing, and encrypted  
15 certificates are used to verify the authenticity of a document.

A number of standards have been adopted or proposed for encrypting copyright content material, or security items such as tickets that are associated with access to the copyright content material, each time the material is transferred from one device to  
20 another. For example, when a "compliant" CD-recorder creates a CD that contains a copy of copy-protected material, the recording will be cryptographically protected so that only a "compliant" CD-player will be able to render the material. "Compliant" devices are devices that enforce the adopted standard. If the original copy-protected  
25 content material has a "copy-once" copy limitation, the compliant CD-recorder will cryptographically mark the copy of this original with a "copy-never" notation. A compliant CD-recorder will recognize

this "copy-never" notation and will not create a copy of this copy. If the material is copied by a non-compliant recorder, it will not contain the appropriate cryptographic item, and a compliant recorder or playback device will not record or render this copied material.

- 5 Standards have also been adopted for encrypting, signing, and authenticating transmitted content material, such as e-mail documents and attachments. The content material may be encrypted, and/or a cryptographically secure item may be attached to the content material that identifies the source of the content material.
- 10 The secure item is attached, or "bound", to the material in such a manner that a decryption of the secure item will identify whether the content material has been modified since it was originally transmitted.

The above examples of the increased use of encryption and decryption techniques, and in particular the increased use of cryptographic signing and verification and access ticketing, necessitates the inclusion of encrypting or decrypting devices in a variety of electronic devices. Every compliant audio or video recording or playback device, including both stationary and portable devices, must contain a means for processing or exchanging keys or other secure items, and generally must contain a cryptographic signing or verification device, or both. Every e-mail transmission or reception device, including multi-functioned devices such as cell-phones, will be expected to contain a signing or verification device, or both. Thus, a need exists for a processing device that facilitates cryptographic signing, verification, and key processing in a variety of systems.

Although a custom designed circuit may be the least costly embodiment of a device that implements an encryption or decryption process for digital signing, verification and other authentication tasks, the evolving nature of cryptography introduces the risk that  
5 the embodied algorithm will become obsolete. A general-purpose programmable processor will allow the embodied algorithm to change as cryptographic techniques change, but will not necessarily be economically feasible for inclusion in every device that requires cryptographic capabilities. A low-cost general-purpose processor may  
10 not achieve the performance goals expected on a real-time authentication process, for example, and auxiliary devices or a higher-speed processor may be required, at an increased cost. Even if the cost objectives can be met by a low-cost processor and auxiliary devices, the physical constraints of the containing  
15 system, such as a cell phone, may preclude the use of these auxiliary devices.

#### BRIEF SUMMARY OF THE INVENTION

20 It is an object of this invention to provide a programmable processing system that facilitates cryptographic authentication. It is a further object of this invention to provide a cryptographic processing system that is optimized for common encryption and decryption utility functions. It is a further object of this  
25 invention to provide a low-cost cryptographic processing system.

These objects, and others, are achieved by providing a processor architecture and instruction set that is particularly well

suited for cryptographic processing. A variety of techniques are employed to minimize the complexity of the design and to minimize the complexity of the interconnections within the device, thereby reducing the surface area required, and associated costs. A variety 5 of techniques are also employed to ease the task of programming the processor for cryptographic processes, and to optimize the efficiency of instructions that are expected to be commonly used in the programming of such processes. In a preferred low-cost embodiment, a single-port random-access memory (RAM) is used for 10 operand storage, few data busses and registers are used in the data-path, and the instruction set is optimized for parallel operations within instructions. Because cryptographic processes are characterized by operations on wide data items, particular emphasis 15 is placed on the efficient processing of multi-word operations, including the use of constants having the same width as an instruction word. A simplified arithmetic unit is provided that efficiently supports the functions typically required for cryptographic operations with minimal overhead. A microcode-mapped instruction set is utilized in a preferred embodiment to facilitate 20 multiple parallel operations in each instruction cycle and to provide direct processing control with minimal overhead.

#### BRIEF DESCRIPTION OF THE DRAWINGS

25 The invention is explained in further detail, and by way of example, with reference to the accompanying drawings wherein:

FIG. 1 illustrates an example block diagram of a data path architecture for a cryptographic processing system in accordance with this invention.

FIG. 2 illustrates an example pair of instruction set formats for a 5 cryptographic processing system in accordance with this invention.

FIG. 3 illustrates an example block diagram of a control path architecture for a cryptographic processing system in accordance with this invention.

FIG. 4 illustrates an example block diagram of a microinstruction 10 mapping for a cryptographic processor in accordance with this invention.

Throughout the drawings, the same reference numerals indicate similar or corresponding features or functions. Throughout the following description, reference numerals between 100 and 199 refer 15 to items introduced in FIG. 1; reference numerals between 200 and 299 refer to items introduced in FIG. 2; reference numerals between 300 and 399 refer to items introduced in FIG. 3, and reference numerals between 400 and 499 refer to items introduced in FIG. 4.

20 DETAILED DESCRIPTION OF THE INVENTION

This invention is based on the observation that cryptographic operations, such as digital signing and verification, public-private key exchange processes, and so on, typically involve large data 25 variables, but relatively simple arithmetic operations. A common algorithm for authentication systems is Digital Signature Algorithm (DSA). Another common algorithm that has been proposed as a standard

(ANSI X9.62) for digital signing and verification is the Elliptic Curve Digital Signature Algorithm (ECDSA). This algorithm, as incorporated in the Digital Transmission Content Protection system (DTCP) has been adopted for inclusion in digital audio and video 5 products equipped with IEEE-1394 connections. The ECDSA is particularly well suited for a low-cost embodiment, because the use of elliptical curves involves the simple mathematical operations of addition, subtraction, multiplication, and inversion.

The size of the data variable used for digital signing and 10 verification is large, typically 160 or 320 bits wide. A 32-bit wide data word size is used in a preferred embodiment, to evenly divide the data item into five or ten words. The selected data word size is a design tradeoff: a larger word size requires additional wiring and routing, and a smaller word size requires additional word operations 15 per data item. Recognizing that a wide data word incurs significant wiring and routing overhead, the data flow and control structure in accordance with this invention is significantly limited compared to conventional processing systems.

In a preferred embodiment, a single ROM for instructions and 20 constants and a single RAM for variables are preferred, to minimize circuit complexity and routing. Because the data constants are preferably the same size as the data word, and are preferably stored in the same ROM as the instructions, the instruction word size in a preferred embodiment is equal to the data word size.

25 The aforementioned simple mathematical operations on the data items suggests a minimal number of required instructions, whereas an instruction word size that equals a data word size suitable for wide

data items allows for a large number of different instructions. Recognizing that speed of processing is important, the 32 bits available for each instruction are structured in accordance with this invention to allow for multiple parallel operations within each 5 instruction.

FIG. 1 illustrates an example block diagram of a data flow architecture 100 of a processing system in accordance with this invention. As can be seen by the simplicity of this block diagram, the processing system is optimized for minimal routing complexity, 10 as compared to conventional 32-bit processing systems. Of significance, note that the arithmetic-unit (AU) 110 comprises merely an adder 112 and two pre-op devices 114, 116. This simplicity provides a consistency of operation that facilitates parallel operations. Also of significance, note that the memory 120 is a 15 single port RAM with a minimal output fanout. This minimal fanout also provides a consistency of operation that facilitates parallel operations, as well as providing a minimization of data routing paths. In like manner, the registers 130, 140 are configured with a single input, from the output 111 of the AU 110, and limited output. 20 The contents of the address registers 130 for example, are provided solely for addressing the RAM 120, and cannot be provided as an input to the AU 110, or any other processing devices, as would typically be common in a conventional processor design. The registers 140 do not provide an output per se, but are used, as 25 discussed further below, to provide condition bits for controlling repetitive operations, such as multiplication. This restricted use of registers 130, 140 minimizes the routing of interconnections

required for each register, and allows the registers 130, 140 to be optimally sized for the function served. For example, the address registers 140 need only be wide enough to span the address range of the memory 120, while the scan registers 140 need only be wide enough to contain the relevant control flags.

The efficiency and effectiveness of the architecture 100 is best illustrated with regard to FIG. 2, which illustrates two example instruction formats 201, 202 in accordance with this invention. As can be seen, the instruction formats 201, 202 have a large number of common instruction fields. Because relatively few instruction types are required for cryptographic processing, a preferred embodiment of an instruction set comprising 32 bits for each instruction includes the use of multiple fields within each instruction, discussed below, to effect parallel operations within each instruction. These multiple fields would not be available in a conventional narrow-word instruction set embodiment that is configured to support the relatively few instruction types, or would be infeasible for inclusion in a wide-word instruction set embodiment that is configured to support a large number of instruction types.

The instruction format field 210 identifies the particular format used for the instruction, and provides the distinction between the illustrated formats 201, 202 and others. In a preferred embodiment, three bits are provided, thereby supporting up to eight different formats. In accordance with this invention, the eight different formats will exhibit a strong correlation of instruction bits, to simplify the decoding of instruction fields. Select fields

are common to each of the different formats, so that commonly utilized parallel operations can be performed regardless of the format type. For example, in a preferred embodiment, the fields 230, and 240 are common to each format type, so that the operation 5 implied by the value in each field 230, and 240, the control and selection of a memory access, can be effected during each instruction cycle, regardless of the particular instruction that is being performed. Other commonly used fields, such as fields 212 and 214 are also included in each instruction format. Also, as discussed 10 further below with regard to FIG. 4, fields that are unavailable within a given format default to a relatively consistent and predictable state, thereby providing a further functional similarity among instructions regardless of format.

The "k follows" field 212 is used to signal that the following 15 "instruction" contains a constant, or data-item, k. The use of this field 212 provides at least two advantages: it allows the constant k that is contained in the next instruction to occupy the entire instruction word size (in a preferred embodiment, 32 bits), and, it allows this value k that is contained in the next instruction to be 20 loaded into the register r0 at the next instruction cycle. In a conventional fixed-instruction size processing system, a bit is typically set aside in both the instruction word and the constant word to distinguish between the two, thereby limiting the size of the constant word to one bit less than the full instruction width. 25 Not illustrated, alternative formats are provided that contain a "constant" field within the instruction; in these formats, the constant k that is provided is less than 32 bits, and the

unspecified higher-order bits associated with a 32-bit constant value of k are either zero-filled or sign-extended, depending upon the particular format. These foreshortened values of k are typically provided as offset values for computing a memory address relative to 5 a base address, or as a distance value for a relative branch instruction, specifying how far to branch from the current instruction location to reach the next intended instruction.

The "update flags" field 214 is used to identify whether or not to modify the condition flags associated with the processing system 10 when this instruction is executed. Copending U.S. patent application "Branch Instructions with Decoupled Condition and Address", serial number \_\_\_\_\_, filed \_\_\_\_\_ for Farrell Ostler and Antoine Dagher, Attorney Docket \_\_\_\_\_ (Disclosure 701046), incorporated by reference herein, discloses the decoupling of condition 15 evaluations from branch instructions, and other conditional instructions, including the express identification of when condition flags should be saved for subsequent use in a conditional instruction. When the field 214 contains an affirmative value, the conventional system flags 118 of FIG. 1, such as carry, zero, and 20 even, and other condition flags, discussed below, are saved, and not updated until another instruction contains an affirmative value in field 214.

The "memory access control" field 230 determines whether the memory 120 is accessed, and if so, whether it is accessed for a read 25 operation or a write operation. As discussed above, the memory 120 is a single port memory, and the fanout of the memory 120 is limited, thereby allowing for a relatively simple memory access

control. As also noted above, the field 230 is common to all instructions, thereby allowing for a memory read or write in parallel with any other instruction.

The "address select" field 240 determines which input to the selector 180 is used to address the memory 120. The selected address may be an indirect address location IDA 185, the output 111 of the AU 110, an external address extA 188, or one of the address registers 130. As noted above, by providing the field 240 within all instructions, a memory select operation can be effected in parallel with any other instruction. It is also significant to note that there is no register element between the memory 120 and the AU 110, as would typically be found in a conventional processing system, thereby allowing the AU 110 to access memory items directly, without an intermediate "load register" instruction. The "addressed register change" field 242 operates in conjunction with the address select field 240, and allows for incrementing or decrementing the addressed register during the same instruction cycle that the memory contents at the incremented or decremented address is provided to the AU 110. Copending U.S. patent application "Circular Address Register", serial number \_\_\_\_\_, filed \_\_\_\_\_ for Farrell Ostler and Antoine Dagher, Attorney Docket \_\_\_\_\_ (Disclosure 701047), incorporated by reference herein, discloses a circular address register that is configured to allow for "circular increment" and "circular decrement" instructions that automatically adjust the pointer to the register to provide a circular addressing function. The addressed register change field 242 in a preferred embodiment of this invention includes states that effect the circular increment

and decrement functions for each of the address registers 130, as determined by the address select field 240. As will be evident to one of ordinary skill in the art, the ability to circularly increment an address, provide the contents of the circularly incremented address to an AU, perform an arithmetic operation on the contents, store the result in a destination register (discussed below), and circularly increment another register (discussed below), all within a single instruction cycle, is particularly well suited for cryptographic and other applications involving multi-word data items.

Also note that the external address extA 188 allows an external processor to access the RAM 120 substantially independent of the processing system 100. That is, in a preferred embodiment of this invention, for example, a host system can be given access to the RAM 120 by setting the address select field to an appropriate value that selects the extA 188 input for addressing the RAM 120. The host system can then load data directly into the RAM 120, to the location addressed by extA 188, via the extDI input 187. This input data could be, for example, a hash value that is bound to an electronic document or ticket and a key that is used to encrypt this hash value to form a digital signature associated with the document or ticket. After loading the hash value and key, the processing system 100 regains access to the RAM, performs the appropriate cryptographic function to provide a corresponding digital signature, which will be located in the RAM 120. The host system is then again provided direct access to the RAM 120 via extA 188, whereupon the host system reads the digital signature from the RAM 120, from each location

addressed by extA 188, via the data out port extDO 186. That is, in accordance with this aspect of the invention, by providing an external addressing access to the RAM 120, the processing system 100 need not directly support memory transfer functions.

5       The next four fields, "right operand pre-op" 250, "left operand pre-op" 252, "adder function" 260, and "nd select" 262 control the operation of the AU 110 and associated components register r0 150 and selector 160. The left operand pre-op field 252 determines whether an addressed item in the memory 120 is to be used directly; 10 if not, a zero is provided as the left operand input to the AU 110. In like manner, the nd select field 262 determines whether the output 111 of the AU 110, or a constant k 165, is provided as an input to the register r0 150. The right operand pre-op field 250 determines whether and how the contents of the register r0 150 is 15 provided as the right input to the AU 110. The right operand pre-op field 250 provides for a direct communication of the contents of the register r0 150 to the adder 112 (a "null" pre-operation), a left and right shifting of the contents of the register r0 150, or an inversion of the contents of the register r0 150, as a parallel 20 operation during the execution of the instruction. As the name of the field implies, this parallel operation is performed before the arithmetic operation specified in the instruction. The right operand pre-op field 250 also allows for a zero value to be supplied as the right input to the adder 112, thereby facilitating a transfer of a 25 value md from the RAM 120 to another location in RAM 120, or to one of the registers 130, 140, 150. The adder function field 260 determines whether the addition of the left and right input to the

AU includes an addition of a carry value, or an inverted carry, or a constant 1. Thus, the combination of pre-op functions 114 and 116 and the adder function 112 provides for monadic as well as dyadic addition functions, as well as subtraction, and multiplication and division by two. As will be evident to one of ordinary skill in the art, the ability to shift a prior result and add it to another operand with the carry bit within a single instruction cycle, as provided by the fields 250, 252, and 260 in accordance with this invention, is particularly well suited for the multiplication processes that are common in the field of cryptography, and other applications involving the multiplication of multi-word data items.

The "destination register" field 270 identifies where the result 111 of the operation at the AU 110 is routed. As noted above, to minimize routing complexity in a preferred embodiment, the fanout of the output 111 of the AU 110 is limited to the registers 130, 140, and to the input register r0 150 associated with the AU 110.

The "update register" field 280 and associated "update register change" field 282 defines yet another parallel operation that can be effected during the processing of the instruction. The update register change field 282 is similar to the addressed register change field 242, in that it can effect an increment or decrement to the update register that is identified by the update register field 280, including a circular pointer increment or decrement operation.

As demonstrated above, the instruction format 201 facilitates the execution of multiple operations in parallel during the execution of a single instruction that utilizes this format to perform a primary function, for example, an arithmetic operation.

Alternative instruction formats, identified by the instruction format field 210, provide for other primary functions, while facilitating parallel operations.

The instruction format 202 of FIG. 2 illustrates an example 5 format that is used in a preferred embodiment for a branch or call operation in parallel with other auxiliary operations. As noted above, the fields 212, 214, 230, and 240 are common to all instructions in a preferred embodiment, and as illustrated in FIG. 2, the fields 250, 252, 260, and 262 are common between the formats 10 201 and 202. Thus, the above referenced operations associated with fields 212, 214, 230, 240, 250, 252, 260 and 262 are performed at the same time that a branch or call operation is performed. As would be apparent to one of ordinary skill in the art, the ability to address, load, and perform an arithmetic operation on an operand in 15 preparation for a branch or call to another routine that will process this operand provides a highly effective and efficient technique for iterative processes, such as commonly used in cryptography and other applications.

The "condition" field 220, and associated "invert condition" 20 field 222, are used to determine which of two subsequent locations will be used to provide the next instruction to be executed. That is, if the condition 220 is in a first state, the program proceeds from a first address, otherwise it proceeds from a second address; the invert condition field 222 determines whether the aforementioned 25 first state corresponds to a "true" or a "false" state. As illustrated in FIG. 2, the condition field 220 in a preferred embodiment utilizes six bits; thereby, up to 64 different conditions

can be tested. Of particular note, one of the conditions of field  
220 in accordance with this invention includes a data-item-equals-  
zero condition, and another condition corresponds to a data-item-  
equals-one condition. The data-item>equals-zero condition is set to  
5 true when each of the data words corresponding to a multiple-word  
data item equals zero, and the data-item>equals-one condition is set  
to true when each of the data words corresponding to a multiple-word  
data item equals zero except the least significant data word, which  
contains a value of one. Other condition items include the state of  
10 particular bits in the scan registers 140, such as the least-  
significant and most-significant bits of each word stored in the  
scan registers 140, thereby facilitating efficient multiplication  
operations of multi-word multiplicands. Other condition items  
include the status of the address pointers that are used to select  
15 the address registers 130, to facilitate the identification of the  
beginning and end of a multi-word processing operation. As would be  
evident to one of ordinary skill in the art, providing up to 64  
different conditions within a branch or call instruction provides  
for an efficient and effective means for controlling and optimizing  
20 complex iterative operations, such as the multiplication of multi-  
word operands, as typically performed in cryptographic operations.

The "next instruction" field 290 controls the program flow by  
controlling the address from which each next instruction is provided  
to the processing system. FIG. 3 illustrates an example block  
25 diagram of a control path architecture 300 for a processing system  
in accordance with this invention. Each instruction 331 is provided  
to the processing system from a memory, illustrated in FIG. 3 as a

ROM 330. The sequence of instruction-addresses 371 determines the sequence of individual instructions 331 that are provided to the processing system. The instructions 331 are formatted as discussed above with regard to FIG. 2. The program counter 310 contains the 5 current instruction-address 371, and the selectors 340, 350, and 370 and adder 360 determine the address of the next instruction, based on the state of the next instruction field 290, as discussed below.

In a preferred embodiment of this invention, the next instruction field 290 provides for the following determinations of 10 the next instruction:

- i) pc <= pc + 1. (sequence)
- ii) If (cond) Then pc <= k Else pc <= pc + 1. (branch to k if)
- iii) If (cond) Then pc <= r0 Else pc <= pc + 1. (branch to r0 if)
- iv) If (cond) Then pc <= k; push(pc+1) Else pc <= pc + 1. (call if)
- v) If (cond) Then pc <= pop Else pc <= pc + 1. (return if)
- vi) If (cond) Then pc <= pc + k Else pc <= pc + 1. (r. branch if)
- vii) If (cond) Then pc <= k Else pc <= pop. (branch if else return)
- viii) If (cond) Then pc <= pc + k Else pc <= pop. (r. branch if else return)

As would be evident to one of ordinary skill in the art, the first 20 next-instruction-determination i is a sequential step to the next instruction, the program counter, pc, advancing by one. The second and third determinations ii and iii are each a conventional conditioned branch. If the condition is true (or if the condition is false and the "invert condition" field 222 is affirmative), the 25 program counter pc is set to a specified address k, or to a determined address r0 (contained in register r0 150 of FIG. 1); otherwise, it is advanced by one. The fourth determination iii is a conventional conditional call, wherein if the condition is true, the

next sequential instruction address,  $pc+1$ , is pushed onto the stack 320, and the program counter is set to the specified address  $k$ . The fifth determination  $v$  is a conventional conditional return, wherein if the condition is true, the prior pushed next sequential address 5 after a call is popped off the stack 320 and placed into the program counter. The sixth determination  $vi$  is a conventional relative branch instruction, wherein a constant (positive or negative) is added to the current program counter to determine the address of the next instruction. Note that a single adder 360 handles both the 10 program counter increment operation as well as the calculation of relative branch addresses.

Of particular significance, note the two determinations  $vii$  and  $viii$ . In accordance with one aspect of this invention, the instruction set includes a "Branch If, Else Return" instruction, 15 wherein if the condition is true, the program branches to the specified or relative address, but if the condition is false, the program returns from a subroutine call by popping the return address off the stack. An "Else Return" construct within a conditional statement is particularly effective and efficient in subroutines 20 that perform iterative operations, wherein the same instruction is used to branch to an address to effect the next iteration or to return when the iterations have been completed. Other variations of techniques for determining a subsequent next instruction will be evident to one of ordinary skill in the art in view of this 25 disclosure.

FIG. 4 illustrates an example block diagram of a processor 400 that represents an effective and efficient structure for providing

the features and capabilities discussed above. In the example of FIG. 4, processing circuitry 450 operates in response to a microcode instruction 455 that comprises control bits 455b that control each switch and state device within the processing circuitry 450. That is, for example, the processing circuitry 450 typically comprises a state machine and the microcode instruction 455 provide the input stimuli to this state machine that controls the transition to the next state as well as controlling the production of an output from this state machine. The microcode instruction 455, for example, contains a control bit that will determine whether the selector 160 of FIG. 1 is set to provide the constant k 455a that is also contained in the microcode instruction 455, or the output result 111 of the arithmetic unit AU 110, to the register r0 150. It also contains a set of control bits that determines which address input is selected by the selector 180 to address the RAM 120, a set of control bits that determines the operation performed by the pre-op device 116, a control bit that determines the operation performed by the pre-op device 114, and so on. It will be recognized that the aforementioned fields within the instruction formats 201, 202 correspond substantially to these microcode control bits 455b. That is, in accordance with this aspect of the invention, the fields of the instruction formats 201, 202 are provided that substantially correspond to select elements of a microcode instruction 455 thereby facilitating a direct control of the underlying processing circuitry 450 with minimal overhead.

As illustrated in FIG. 4, the control fields 410 of an instruction 331, the fields other than the format field 210, are

provided as input to a format mapper and default device 440 that maps each control field 410 of the instruction 331 to a corresponding control element in the microcode 455. Note that both the constant k 455a and the control bits 455b affect the operation 5 and result provided by the processing circuitry 450, and are included in the definition of a control element of the microcode 455.

The mapper/default device 440 comprises a plurality of selectors 441-449 that route each bit of the control field 410 to a 10 corresponding control bit 455b, depending upon the given format 210 of the instruction 331. That is, for example, in FIG. 2, the different instruction formats 201 and 202 include different fields (242, 280, 282) and (290, 220) in the bit positions 23-31 of the instruction. The mapper/default device 440 routes the different 15 fields from the same bit position of an instruction to different control elements of the microcode instruction, depending upon the format 210 of the instruction 331.

In accordance with another aspect of this invention, the mapper/default device 440 appropriately controls the control 20 elements of the microcode instruction 455 in the absence of a mapped field from the instruction 331, by providing default control values for each control element 455. That is, for example, the example instruction formats 201, 202 do not include a constant field for setting the value of k 455a in the microcode instruction 455. The 25 default interpretation for the absence of a specified constant field in an instruction 331 in a preferred embodiment is a null operation. That is, in the absence of a specified constant value, the value k

455a remains the same. Alternatively, if the instruction contains an affirmative "k-follows" field 212, the next instruction 331 read from the ROM 330 will be mapped completely to the constant k 455a of the microcode instruction 455. (For ease of understanding, the type 5 mapper 430 is illustrated as receiving the format field 210 of the instruction 331 as a control input only. To support the "k-follows" feature, the type mapper/default device 440 is configured to route the entire instruction 331 to the constant k segment 455a of the microcode instruction 455 whenever the previous instruction contains 10 an affirmative k-follows field 212.)

In a preferred embodiment, the output of the multiplexer/selector associated with each control element 455 is dependent upon the content of the format field 210, and the inputs are dependent upon the available default options. For example, as 15 discussed above, one or more format types (not illustrated) of a preferred embodiment contain a constant field that is less than the full width of the instruction word. When these format types are received, the corresponding multiplexers 441-449 are configured to select either a zero value or a sign-extended value to place in each 20 of the unspecified higher-order bit locations of the constant k 455a. For example, if the constant field in the instruction contains six bits, the upper twenty-six bits of the 32-bit constant k 455a may be set to a default value of zero, or to a default value that is equal to the most significant bit of the specified six bits (sign- 25 extended value), depending upon the particular format type. The control bits 455b are also provided default values, in most cases a null operation. The choice of a default value or condition for each

control element of the microcode instruction 455 can be any value or condition, but in a preferred embodiment, the default values and conditions are chosen to be those that would be consistent with an assumed value by one of ordinary skill in the art. That is, for example, the zero-ing of higher order bits when a shortened data constant is provided, and the sign-extension of higher order bits when an address offset is provided, would be assumed to be proper defaults by one of ordinary skill in the art. In like manner, the default condition of the set of control bits corresponding to the next instruction field 290 of format 202 would be assumed to correspond to an advancement of the program counter by one instruction. That is, when an instruction having a format 201 is received, which does not contain a next instruction field 290, the default interpretation module 440 sets the appropriate control elements in the macroinstruction 455 corresponding to the absent field 290 such that the selectors 340, 350, and 370 are set to select the appropriate inputs to effect an increment of the program counter 310, thereby providing a consistent, predictable, and logical effect in the absence of an explicit instruction field.

The foregoing merely illustrates the principles of the invention. It will thus be appreciated that those skilled in the art will be able to devise various arrangements which, although not explicitly described or shown herein, embody the principles of the invention and are thus within its spirit and scope. For example, with regard to conditions that are determined based on multiple words of a data item, a condition element can be defined that identifies the most-significant non-zero word in a data item, to

facilitate the control of multi-word operations such as multiplication and addition. Other techniques for minimizing the complexity of the illustrated design are also feasible. For example, the indirect address pointer, IDA 185 in FIG. 1, can be a predetermined address, such as address 0, of the RAM 120, so as to minimize the circuitry and time required to access an indirect address. In like manner, the data items that are addressed by the address registers 130 may be constrained to lie within a specified area of the memory 120, so that the registers 120 can be of the minimum width required to span the addresses within this specified area, using for example an offset base address, rather than a width required to span the entire memory 120. Also, although the principles presented herein are particularly well suited for cryptography applications, the techniques and structures presented in this disclosure can be applied to processing systems that are customized for other applications, particularly those that utilize wide data-items and/or employ relatively simple but repetitive operations. In like manner, although the example embodiments are illustrated herein in a minimalist form, to achieve a low production cost, additional capabilities can be added to improve performance or to ease the programming task. The examples provided in the figures are presented for illustration purposes. For example, a single port RAM 120 is illustrated in FIG. 1, which provides for a minimal routing and interconnect area for addressing the RAM 120. A multiport RAM, such as a two or three port RAM, and an associated format type to accommodate the multiple addressing capabilities, would provide for multiple memory accesses in the same instruction

cycle, a preset of memory addresses before the memory access, and so on, by employing the principles presented above. Other system configuration, application, and optimization techniques will be evident to one of ordinary skill in the art, in view of this invention, and are within the spirit and scope of the following claims.

## CLAIMS

We claim:

5    1. A processing system comprising:

      a processor that is configured to execute program instructions contained in a memory,

      the processor including:

      a program counter that is configured to contain a next-

10 instruction-address, and

      a stack that is configured to contain at least one return address corresponding to an execution of a subroutine call instruction,

      the program instructions including:

15       a branch-else-return instruction that causes the processor to:

          place a branch-address into the program counter as the next-instruction-address if an associated branch condition is in a first state, and,

20           place the at least one return-address into the program counter as the next-instruction-address if the associated branch condition is in a second state.

2. A processing system comprising:

25       a processor that is configured to execute program instructions that relate to data-items that occupy multiple words in a memory, the processor comprising:

a status register that includes status flags,  
the status flags including at least one flag that is dependent  
upon corresponding multiple words of a select data-item.

5    3. The processing system of claim 2, wherein

the at least one flag includes at least one of:

    a data-zero flag that indicates that each word of the  
multiple words forming the select data-item contains a zero value,

    a data-one flag that indicates that each word of the

10    multiple words forming the select data-item contains a zero value  
except a least-significant word of the multiple words forming the  
current data-item, and this least-significant word contains a value  
of one, and

    a data-highest flag that identifies a most significant

15    non-zero word of the multiple words forming the select data item.

4. A processing system comprising:

    a processor that is configured to execute a current instruction  
from an instruction register, and

20    an operand register that is configured to provide an operand  
for processing by the processor in dependence upon the current  
instruction; and

    wherein

    the current instruction includes a constant-follows flag, and

25    the processor is configured to:

    load a subsequent word into the operand register when the  
constant-follows flag of the current instruction contains a first

value, and

load the subsequent word into the instruction register at a next processor cycle when the constant-follows flag of the current instruction contains a second value.

5

5. A processing system comprising:

a processor that is configured to execute program instructions,  
a memory that is configured to contain operands, each operand having a corresponding operand address in the memory and

10 at least one address register that is configured to contain an operand address; and

wherein

each of the at least one address registers is configured to:

receive the operand address from the processor, and

15 provide the operand address as an addressing input to the memory only.

6. The processing system as claimed in claim 5, wherein

the operand address lies within an operand-address-range, and

20 each of the at least one address registers is sized to be a minimum size required to contain a span of the operand-address-range.

7. The processing system of claim 5, wherein

25 at least one instruction of the program instructions effects a modification of at least two address registers upon execution of the at least one instruction.

8. The processing system of claim 5, wherein  
the processor is further configured to provide an address-zero  
flag that is asserted when the operand address is zero,  
5 the operand-address corresponds to a counting index, and  
the at least one address register is further configured to  
decrement the operand address in response to a decrement command  
from the processor, thereby providing a counting operation based on  
the counting index.

10  
9. The processing system as claimed in claim 5, wherein:  
the operand address lies within an operand-address-range having  
a lower-address and an upper-address, and  
the program instructions include at least one of:  
15 a circular-increment instruction that  
increments the operand-address in the at least one  
address register, and  
resets the operand-address in the at least one  
address register to correspond to the lower-address when the  
20 operand-address in the at least one address register is greater than  
the upper-address,  
a circular-decrement instruction that  
decrements the operand-address in the at least one  
address register, and  
25 resets the operand-address in the at least one  
address register to correspond to the upper-address when the  
operand-address in the at least one address register is less than

the lower-address,

thereby constraining the operand-address in the at least one address register to lie within the operand-address-range.

5 10. The processing system as claimed in claim 9, further including at least one condition flag that is associated with at least one of:

the operand-address equaling the lower-address, and  
the operand-address equaling the upper-address.

10

11. A processing system comprising:

a processor that is configured to execute program instructions,  
a memory that is configured to contain operands, each operand having a corresponding operand address in the memory,

15 wherein

the processor includes an arithmetic-unit, and  
the arithmetic-unit is

operably coupled to the memory such that the arithmetic-unit receives a first operand from the memory only,

20 is further configured to receive a second operand from one only of the following: an output of the arithmetic-unit, and a constant, and

is further configured to produce the output based on at least one of the first operand and the second operand.

25

12. The processing system of claim 11, wherein

the arithmetic-unit includes only:

an adder having a first input and a second input that provides the output of the arithmetic-unit corresponding to an arithmetic sum of the first input and the second input;

5       a first-operand selector that is configured to form the first input as one of:

          the first operand, and

          a zero value;

          a second-operand selector that is configured to form the second input as one of:

10       the second operand,

          an inversion of the second operand,

          a shift of the second operand, and

          a zero value.

15      13. A processing system comprising:

          a processor that is configured to execute program instructions,

          each instruction of the program instructions being formatted in accordance with a format-type of a plurality of format types,

          wherein

20       each format type of the plurality of format types comprises a plurality of fields that each facilitates an operation that is to be performed in parallel with the execution of each program instruction.

25      14. The processing system of claim 13, wherein

          a substantial majority of the plurality of fields of at least one format type is common to a corresponding majority of the

plurality of fields of at least another format type.

15. The processing system of claim 13, wherein  
a substantial majority of the plurality of fields in each  
5 format type corresponds to control elements of a microinstruction  
that controls the operation of switches and state devices within the  
processor.

16. The processing system of claim 13, wherein  
10 the processor comprises:

a state machine that executes each instruction based on a  
microinstruction corresponding to each instruction,  
a format mapper that is configured to:  
associate each control field of each instruction to  
15 an associated control element in the microinstruction, in dependence  
upon the format type of the instruction, and  
provide a default condition to other control elements  
of the microinstruction that are not associated with each  
instruction.

20  
17. The processing system of claim 16, wherein  
the default condition is also dependent upon at least one of:  
the format type of the instruction, and  
at least one control field of the instruction.

25  
18. The processing system of claim 16, wherein  
the default condition includes at least one of:

a load-zero condition that is configured to set at least one of the other control elements to a zero value,

a null condition that is configured to leave at least one of the other control elements unaffected,

5 a load-bit condition that is configured to set at least one of the other control elements to a value contained in the instruction, and

an increment condition that is configured to increment a value associated with at least one of the other control elements.

10

19. The processing system of claim 13, further comprising

a memory having an external data-in port and an external data-out port that are configured to facilitate a storage and retrieval of data-items to and from the memory, and

15 wherein

at least one field of the plurality of fields includes an address-select field,

the address-select field facilitates a selection of an external address-port that is configured to provide another processor direct access to locations in the memory that are addressed by the external address-port for storing and retrieving data items via the external data-in and data-out ports.

20. The processing system of claim 13, further comprising:

25 a plurality of storage elements, and

wherein

at least two fields of the plurality of fields are associated

with an identification of at least two storage elements of the plurality of storage elements, and  
at least one instruction of the program instructions facilitates a parallel modification of the at least two storage elements upon execution of the at least one instruction.

## ABSTRACT OF THE DISCLOSURE

A processor architecture and instruction set is provided that is particularly well suited for cryptographic processing. A variety 5 of techniques are employed to minimize the complexity of the design and to minimize the complexity of the interconnections within the device, thereby reducing the surface area required, and associated costs. A variety of techniques are also employed to ease the task of programming the processor for cryptographic processes, and to 10 optimize the efficiency of instructions that are expected to be commonly used in the programming of such processes. In a preferred low-cost embodiment, a single-port random-access memory (RAM) is used for operand storage, few data busses and registers are used in the data-path, and the instruction set is optimized for parallel 15 operations within instructions. Because cryptographic processes are characterized by operations on wide data items, particular emphasis is placed on the efficient processing of multi-word operations, including the use of constants having the same width as an instruction word. A simplified arithmetic unit is provided that 20 efficiently supports the functions typically required for cryptographic operations with minimal overhead. A microcode-mapped instruction set is utilized in a preferred embodiment to facilitate multiple parallel operations in each instruction cycle and to provide direct processing control with minimal overhead.

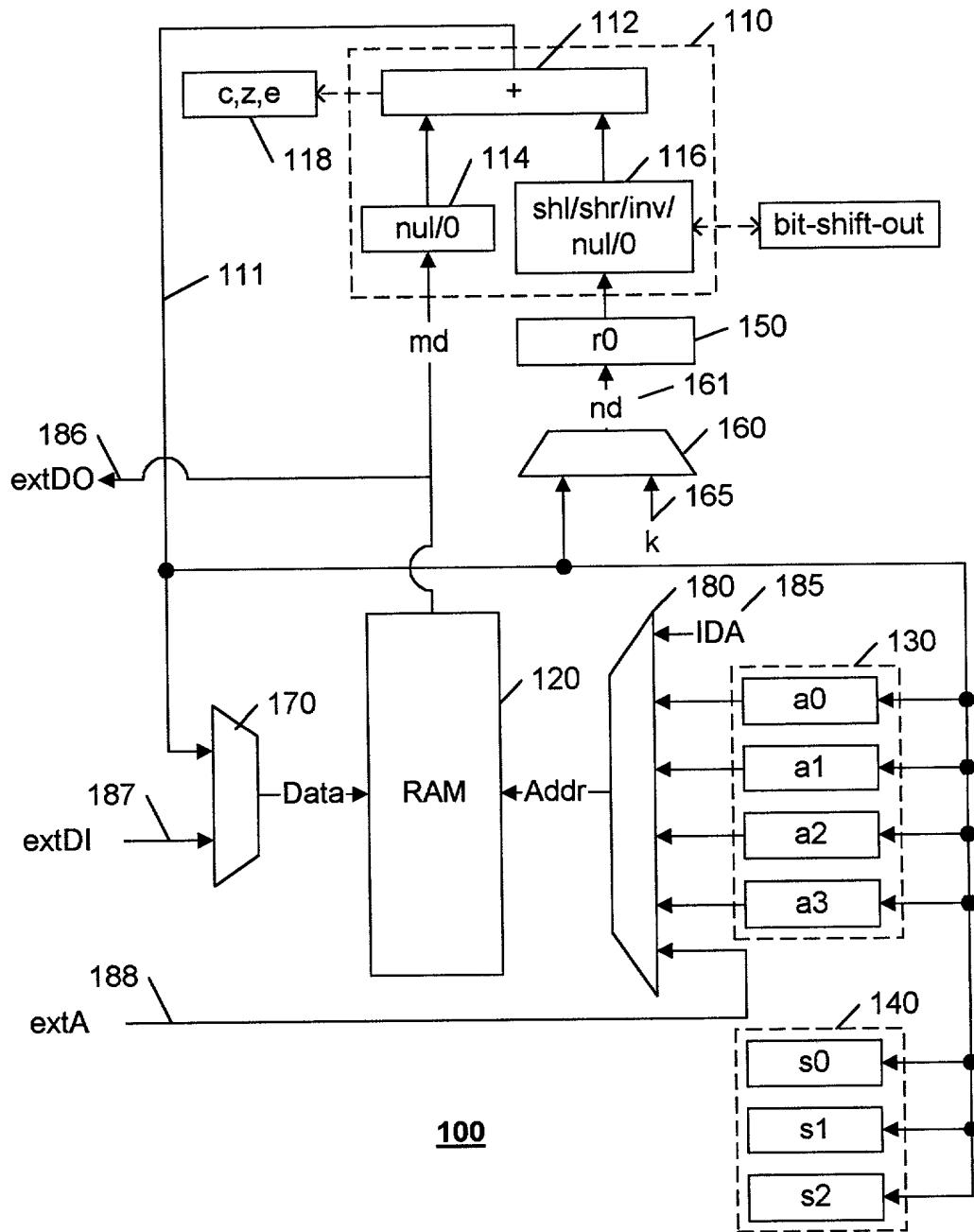


FIG. 1

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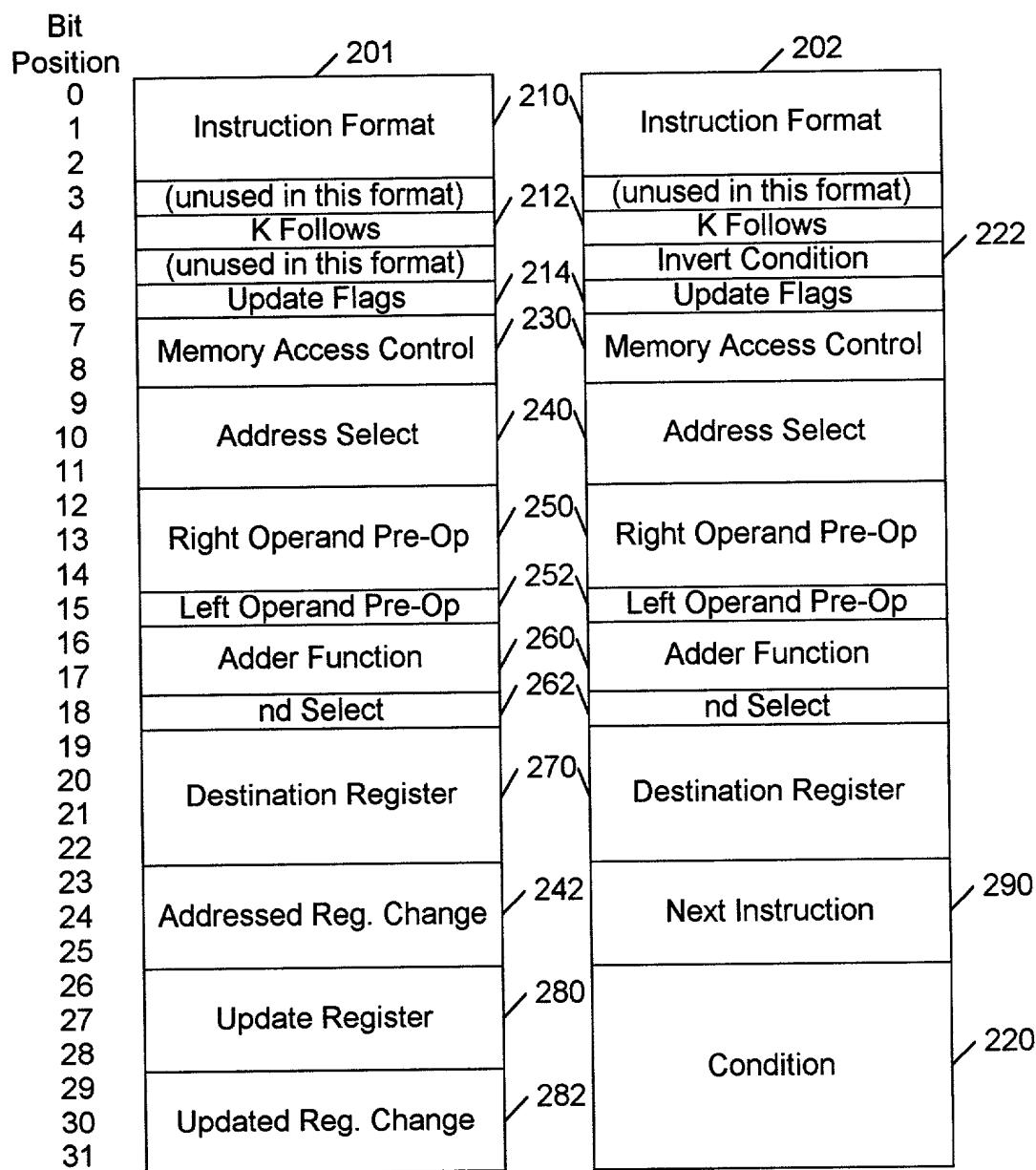
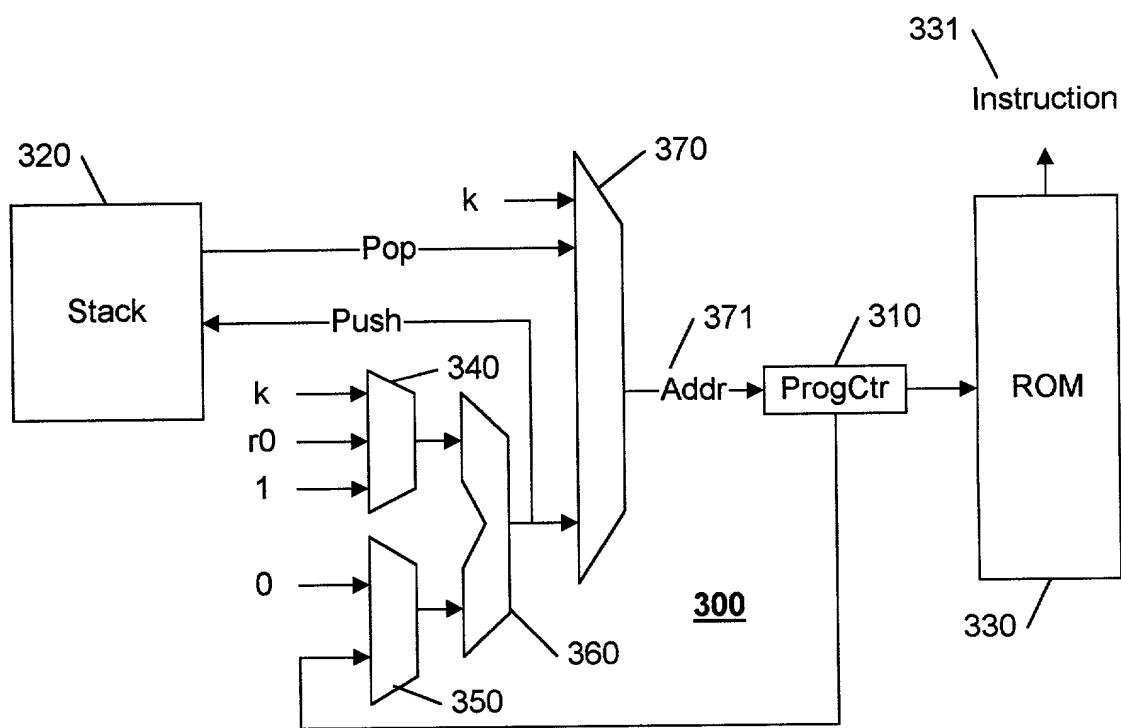


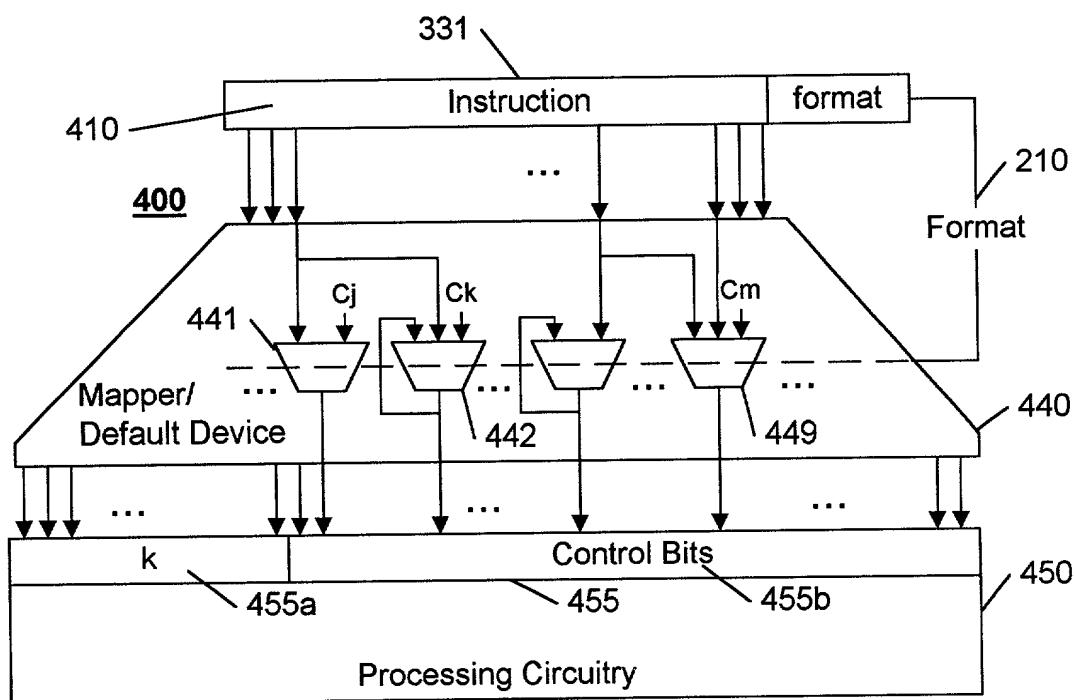
FIG. 2

**3/4**



**FIG. 3**

**4/4**



**FIG. 4**

# DECLARATION and POWER OF ATTORNEY

701049

Attorney's Docket No.  
PHA 23,888

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **"SIMPLE ALGORITHMIC CRYPTOGRAPHY ENGINE"**

the specification of which (check one)

is attached hereto.

— was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_ and was amended on \_\_\_\_\_  
(if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by the amendment(s) referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulation, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

## PRIOR FOREIGN APPLICATION(S)

COUNTRY	APPLICATION NUMBER	DATE OF FILING (DAY, MONTH, YEAR)	PRIORITY CLAIMED UNDER 35 U.S.C. 119

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application (s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35 United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

## PRIOR UNITED STATES APPLICATION(S)

APPLICATION SERIAL NUMBER	FILING DATE	STATUS (PATENTED, PENDING, ABANDONED)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

Algy Tamoshunas, Reg. No. 27,677

Jack E. Haken, Reg. No. 26,902

SEND CORRESPONDENCE TO: Corporate Patent Counsel; U.S. Philips Corporation; 580 White Plains Road, Tarrytown, NY 10591	DIRECT TELEPHONE CALLS TO: (name and telephone No.) Brian J. Wieghaus (914) 333-9633
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Dated:		INVENTOR'S SIGNATURE:		
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# DECLARATION and POWER OF ATTORNEY

701049

Attorney's Docket No.  
PHA 23,888

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **"SIMPLE ALGORITHMIC CRYPTOGRAPHY ENGINE"**

the specification of which (check one)

is attached hereto.

— was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_ and was amended on \_\_\_\_\_  
(if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by the amendment(s) referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulation, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

## PRIOR FOREIGN APPLICATION(S)

COUNTRY	APPLICATION NUMBER	DATE OF FILING (DAY, MONTH, YEAR)	PRIORITY CLAIMED UNDER 35 U.S.C. 119

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application (s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35 United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

## PRIOR UNITED STATES APPLICATION(S)

APPLICATION SERIAL NUMBER	FILING DATE	STATUS (PATENTED, PENDING, ABANDONED)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

Algy Tamoshunas, Reg. No. 27,677

Jack E. Haken, Reg. No. 26,902

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--	--

Dated: 10th December 1999		INVENTOR'S SIGNATURE: <i>gs Fleming</i>		
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Dated:		INVENTOR'S SIGNATURE:		
Full Name of Inventor	Last Name DAGHER	FIRST NAME ANTOINE	Middle Name FARID	
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Residence & Citizenship	City	STATE OR FOREIGN COUNTRY	Country of Citizenship	
Post Office Address	Street	CITY	State or Country	Zip Code

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

GEORGE S. FLEMING ET AL

PHA 23,888

Serial No.

Group Art Unit:

Filed: CONCURRENTLY

Examiner:

Title: SIMPLE ALGORITHMIC CRYPTOGRAPHY ENGINE

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

APPOINTMENT OF ASSOCIATES

Sir:

The undersigned Attorney of Record hereby revokes all prior appointments (if any) of Associate Attorney(s) or Agent(s) in the above-captioned case and appoints:

Tony Piotrowski (Registration No. 42,080)

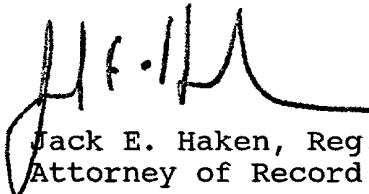
(Registration No. ) and

(Registration No. )

c/o U.S. PHILIPS CORPORATION, Intellectual Property Department, 580 White Plains Road, Tarrytown, New York 10591, his Associate Attorney(s)/Agent(s) with all the usual powers to prosecute the above-identified application and any division or continuation thereof, to make alterations and amendments therein, and to transact all business in the Patent and Trademark Office connected therewith.

ALL CORRESPONDENCE CONCERNING THIS APPLICATION AND THE LETTERS PATENT WHEN GRANTED SHOULD BE ADDRESSED TO THE UNDERSIGNED ATTORNEY OF RECORD.

Respectfully,



Jack E. Haken, Reg. 26,902  
Attorney of Record

Dated at Tarrytown, New York  
this December 15, 1999